

15866 U.S. PTO
041604

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Docket No.: TUC920030190US1
Date: April 16, 2004

19270 U.S. PTO
10/826229
041604

MAIL STOP PATENT APPLICATION
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VIRGINIA 22313-1450

Dear Sir:

Transmitted herewith for filing is the patent application of:

Inventor: BORLICK, et al.
For: Apparatus And Method To Update Code In An Information Storage And Retrieval
System While That System Remains In Normal Operation

Enclosed are the following:

- ☒ Specification 14 pages; Claims 10 pages; Abstract 1 page
- ☒ Declaration and Power of Attorney
- ☒ Sheet(s) of drawings 2 pages
- ☒ An assignment of the invention to: International Business Machines Corporation
- ☐ A certified copy of _____ application No. _____, filed _____
- ☒ Prior Art Disclosure Statement
- ☐ Preliminary Amendment

☐ Applicant claims small entity status. See 37 CFR 1.27.

Priority is hereby claimed under 35 USC 119 by way of _____ patent application
No. _____ filed _____

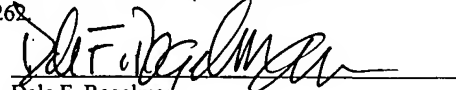
Benefit is hereby claimed under Title 35, United States Code 119(e) of United States provisional
application No. _____ filed _____

The filing fee has been calculated as shown below:

		SMALL ENTITY	LARGE ENTITY
BASIC FEE:		\$385.00	\$770.00
TOTAL CLAIMS:	26 - 20 =	x 9 =	6 x 18 = 108.00
INDEPENDENT CLAIMS:	3 - 3 =	x 43 =	x 86 =
MULT. DEPEND. CLAIMS:		+ 145 =	+ 290 =
TOTAL:		\$	\$878.00

☒ Form PTO-2038 authorizing payment in the amount of \$878.00 to cover filing fees is enclosed.
☐ Form PTO-2038 authorizing payment in the amount of \$40.00 to cover the Assignment recordal fee is enclosed.

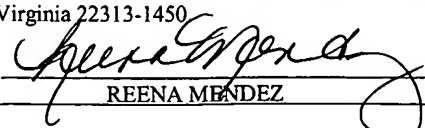
The Commissioner is hereby authorized to charge any additional filing fees required under 37 CFR 1.16
or credit any overpayment to Deposit Account No. 502262


Dale F. Regelman
Attorney of Record
Reg. No. 45,625

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No. EL 989735420 US Date of Deposit: April 16, 2004

I hereby certify that this paper and the papers listed thereon are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, and is addressed to MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

Signature of person mailing: 
Name of person mailing: REENA MENDEZ